

PATENT

Receipt

Case Docket No. UNI40.005APC

Date: March 2, 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Masahiko NAKAMORI, et al.
App. No. : 10/536,621
Filed : May 26, 2005
For : POLISHING PAD AND METHOD OF
PRODUCING SEMICONDUCTOR DEVICE
Art Unit : 1763

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313, on

March 2, 2006

(Date)

Katsuhiro Arai, Reg. No. 43,315

U.S. PATENT AND TRADEMARK OFFICE
COMMISSIONER FOR PATENTS
Initial Patent Examination's Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Applicants incorrectly transliterated the first name of the sixth named inventor and executed the declaration. Applicants have notified the U.S. Patent and Trademark Office as shown in the attached copy of communication filed today. Applicants respectfully request to correct the first name of the sixth named inventor to --Masahiro-- (to read Masahiro Watanabe) in the Official Filing Receipt for the above-identified patent application. No fees is believed to be required, however, should any fees be necessary for this request, please charge them to our deposit Account No. 11-1410.

Enclosed in support of this Request are the following:

- (X) A Copy of the Official Filing Receipt.
- (X) A Copy of the Declaration.
- (X) A copy of the communication filed on March 1, 2006.
- (X) Return prepaid postcard.

Katsuhiro Arai
Registration No. 43,315
Attorney of Record
Customer No. 20,995
(949) 760-0404

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Applicant : Masahiko NAKAMORI, et al.
App. No : 10/536,621
Filed : May 26, 2005
For : POLISHING PAD AND METHOD OF
PRODUCING SEMICONDUCTOR
DEVICE
Examiner : Unknown
Art Unit : 1763

CERTIFICATE OF MAILING

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March 2, 2006

(Date)


Katsuhiro Arai, Reg. No. 43,315COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Please be advised that a transliteration error in the spelling of the sixth named inventor's name is discovered. The sixth named inventor's name of record is Kimihiro Watanabe which was incorrectly transliterated from the original Japanese name and which should be Masahiro Watanabe.

The undersigned respectfully requests to correct the sixth inventor's first name to -- Masahiro-- in the file of the U.S. Patent and Trademark Office.


No fee is believed to be required for filing the communication, however, if any fees are required, please charge them to Account No. 11-1410,

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 2, 2006

By:


Katsuhiro Arai
Registration No. 43,315
Attorney of Record
Customer No. 20,995
(949) 760-0404

UNIU40.005APC MK

DEA/KON



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/536,621	05/26/2005	1763	900	UNIU40.005APC	4	20	1

CONFIRMATION NO. 9275

20995
 KNOBBE MARTENS OLSON & BEAR LLP
 2040 MAIN STREET
 FOURTEENTH FLOOR
 IRVINE, CA 92614

FILING RECEIPT



OC000000017283631

Date Mailed: 10/21/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masahiko Nakamori, Shiga, JAPAN;
 Tetsuo Shimomura, Shiga, JAPAN;
 Takatoshi Yamada, Shiga, JAPAN;
 Kazuyuki Ogawa, Osaka, JAPAN;
 Atsushi Kazuno, Osaka, JAPAN;
 Kimihiro Watanabe, Osaka, JAPAN;

→ Please correct to --MASAHIRO--.

Power of Attorney: The patent practitioners associated with Customer Number 20995.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/15128 11/27/2003

Foreign Applications

JAPAN 2002-343199 11/27/2002
 JAPAN 2003-000331 01/06/2003
 JAPAN 2003-029477 02/06/2003
 JAPAN 2003-064653 03/11/2003

Projected Publication Date: 01/26/2006

Non-Publication Request: No

Early Publication Request: No

Title

Polishing pad and method for manufacturing semiconductor device

Preliminary Class

156

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

DECLARATION - USA PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled POLISHING PAD AND METHOD OF PRODUCING SEMICONDUCTOR DEVICE; PCT Application No. PCT/JP2003/015128 filed in the Japanese Receiving Office on November 27, 2003; the documentation for entry into the U.S. national phase of which is attached hereto;

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

**Priority
Claimed**

No.: 2002-343199	Country: Japan	Date Filed: 27/11/02	Yes
No.: 2003-000331	Country: Japan	Date Filed: 06/01/03	Yes
No.: 2003-029477	Country: Japan	Date Filed: 06/02/03	Yes
No.: 2003-064653	Country: Japan	Date Filed: 11/03/03	Yes

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.



Full name of sole or first inventor: Masahiko NAKAMORI

Inventor's signature Masahiko Nakamori

Date May 20, 2005

Residence: c/o Toyo Boseki Kabushiki Kaisha Research Center, 1-1, Katata, 2-chome, Ohtsu-shi,
Shiga 520-0292 Japan

Citizenship: Japan

Post Office Address: Same as above

Full name of second inventor: Tetsuo SHIMOMURA

Inventor's signature Tetsuo Shimomura

Date May 20, 2005

Residence: c/o Toyo Boseki Kabushiki Kaisha Research Center, 1-1, Katata, 2-chome, Ohtsu-shi,
Shiga 520-0292 Japan

Citizenship: Japan

Post Office Address: Same as above

Full name of third inventor: Takatoshi YAMADA

Inventor's signature Takatoshi Yamada

Date May 20, 2005

Residence: c/o Toyo Boseki Kabushiki Kaisha Research Center, 1-1, Katata, 2-chome, Ohtsu-shi,
Shiga 520-0292 Japan

Citizenship: Japan

Post Office Address: Same as above

Full name of fourth inventor: Kazuyuki OGAWA

Inventor's signature Kazuyuki Ogawa

Date May 20, 2005

Residence: c/o Toyo Tire & Rubber Co., Ltd., 17-18, Edobori 1-chome, Nishi-ku, Osaka-shi,
Osaka 550-8661 Japan

Citizenship: Japan

Post Office Address: Same as above

Full name of fifth inventor: Atsushi KAZUNO

Inventor's signature Atsushi Kazuno

Date May 20, 2005

Residence: c/o Toyo Tire & Rubber Co., Ltd., 17-18, Edobori 1-chome, Nishi-ku, Osaka-shi,
Osaka 550-8661 Japan

Citizenship: Japan

Post Office Address: Same as above

Full name of Sixth inventor: Kimihiro WATANABE

Inventor's signature Masahiro Watanabe

Date May 20, 2005

Residence: c/o Toyo Tire & Rubber Co., Ltd., 17-18, Edobori 1-chome, Nishi-ku, Osaka-shi,
Osaka 550-8661 Japan

Citizenship: Japan

Post Office Address: Same as above

Send Correspondence To

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995

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